IAP7 Rec'd PCT/PTQ protes for July 2 ff ff 390 (Rev. 07-2005)
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 33155.38

		DESIGNATED/ELECTED OF	FFICE (DO/EO/US)							
		ICERNING A SUBMISSION		U.S. APPLICATION NO (If Agova, 300 37 CFR 1.5)						
		ATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
_		03/03809	12 December 2003	12 December 2003						
L'''	ILE UF	FINVENTION ALL-OPTICAL CONVERTER								
AP	APPLICANT(S) FOR DO/EO/US Benjamin Cuenot; Esther Le Rouzic; Nicolas Brochier									
Ар	plicant	herewith submits to the United States Des	ignated/Elected Office (DO/EO/US) the follow	ving items and other information:						
1.	$\boxtimes$	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items								
	_	(5), (6), (9) and (21) indicated below.								
4.		The US has been elected (Article 31).								
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
			if not communicated by the International Bureau	u).						
		<ul> <li>b.  has been communicated by the li</li> <li>c.  is not required, as the application</li> </ul>	International Bureau. n was filed in the United States Receiving Office	(DOME)						
6.	⊠		ernational Application as filed (35 U.S.C. 371(c)(	· ·						
٥.		a.    is attached hereto.	mational Application as filed (35 U.S.C. 37 HC)(.	Z)).						
		b. has been previously submitted up	inder 35 U.S.C. 154(d)(4).							
7.		_ , ,	ional Application under PCT Article 19 (35 U.S.C.	c. 371(c)(3))						
		a.  are attached hereto (required onl	ly if not communicated by the International Burea	au).						
		b.  have been communicated by the International Bureau.								
		c.  have not been made; however, the time limit for making such amendments has NOT expired.								
		d. have not been made and will not be made.								
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT								
	Items	Article 36 (35 U.S.C. 371(c)(5)). 5 11 to 20 below concern document(s) or inf	formation included:							
11.		An Information Disclosure Statement under								
12.			An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13.	⊠	A preliminary amendment.								
14.		An Application Data Sheet under 37 CFR 1.76.								
15.		A substitute specification.								
16.		A power of attorney and/or change of address letter.								
17.		A computer-readable form of the sequence	e listing in accordance with PCT Rule 13ter.2 and	d 37 CFR 1.821- 1.825.						
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)/4)								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### IAP12 Rec'd PCT/PTO 1 6 JUN 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATIONNY (Fig. 3-228) INTERNATIONAL A						ATTORNEY'S DOCKET NUMBER 33155.38		
20. Other items or information:								
	fees are submitted:		<del>-</del>			CALCULATIONS	PTO USE ONLY	
21. 🛭 Basic na	tional fee (37 CFR 1.492(a))	······			\$300	\$ 300		
If the written opinion By IPEA/US ind All other situations .	tion Fee (37 CFR 1.492(c) prepared by ISA/US to the in icates all claims satisfy prov	\$ 200						
By IPEA/US ind Search fee (37 CFR 1 International Search I previously con	(37 CFR 1.492(b)) prepared by ISA/US to the inicates all claims satisfy provided in the inicates and initial satisfy in the inicates and initial satisfy in the inicates and initial satisfy in the initial satisfy	\$ 500						
	TOTAL OF 21, 22 ar	nd 23 =			_	\$ 1000	4	
sequence electronic The fee i	for specification and drawing listing in compliance with 37 medium) (37 CFR 1.492(j)), s \$250 for each additional 50							
Total Sheets			r of each additional 50 or fraction RATE eof (round up to a whole number)			]-		
- 100 =	0/50 =				x \$250	\$0		
Surcharge of \$130.0 of commencement of	0 for fumishing any of the s f the national stage (37 CFR	\$ 130						
CLAIMS	NUMBER FILE	NUMBER FILED		RATE		\$		
Total claims		- 20 =		x	\$50	\$0		
Independent clair		- 3 =	0	x	\$200	\$0		
MULTIPLE DEPEN	DENT CLAIM(S) (if applicat	\$360	\$					
			TOTAL OF ABO	OVE CA	LCULATIONS =	\$ 1130		
Applicant clair	ns small entity status. See 3	oy ½.	\$					
		SUBTOTAL =	\$ 1130					
Processing fee of \$1 claimed priority date	30.00 for furnishing the Engl (37 CFR 1.492(f)).	\$						
TOTAL NATIONAL FEE = \$ 1130								
Fee for recording the by an appropriate co	e enclosed assignment (37 C ver sheet (37 CFR 3.28, 3.3	\$						
TOTAL FEES ENCLOSED = \$ 1130								
					-	Amount to be refunded:	\$	
						Amount to be charged:	\$	

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0851-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## 10/583228

a.  A check in the amount of \$ to cover the a	bove fees is enclosed.						
<ul> <li>D. ☑ Please charge my Deposit Account No. 023732 in the amount of \$ 1,130 to cover the above fees.</li> </ul>							
A duplicate copy of this sheet is enclosed.							
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment of Deposit Account No. <u>023732</u> . A duplicate copy of this sheet is enclosed.							
d.  Fees are to be charge to a credit card. <b>WARNING</b> information should not be included on this form.	i: Information on this form may become public. Credit card Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the International Application to pending status.							
	1						
SEND ALL CORRESPONDENCE TO:	Jule 2100						
Gerald E. Helget	SIGNATURE						
Briggs and Morgan, P.A.	Gerald E. Helget						
80 South Eighth Street, Suite 2200	NAME						
Minneapolis, MN 55402	30,948						
	REGISTRATION NUMBER						
	·						

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re. Appln.:

Benjamin Cuenot, et al

Serial No.:

Not yet assigned

Int'l Appl. No.:

PCT/FR03/03809

Int'l Filing Date:

December 19, 2003

For:

ALL-OPTICAL CONVERTER

Attorney Docket No.:

33155.38

Attorney:

Gerald E. Helget

Additional Fees:

Charge to Deposit Account No. 023732

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL COVER LETTER

Sir:

Enclosed for filing please find the following:

- 1. Transmittal Letter to the United States DO/EO/US Concerning a Submission Under 35 U.S.C. 371 (3 pages);
- 2. Copy of specification, claims and abstract in English (23 pgs.);
- 3. One (1) drawing sheet;
- 5. Preliminary Amendment (5 pgs.); and
- 6. Postcard Receipt.

Respectfully submitted,

Dated:

Gerald E. Helget (Reg. No. 30,9

**BRIGGS AND MORGAN** 

2200 IDS Center, 80 South Eighth Street

Minneapolis, MN 55402 Telephone: (612) 977-8480

#### **CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this document, as well as the above-identified documents, are being deposited with the United States Postal Service as Express Mail, Receipt No. EL 976596826 US an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the dated indicated below.

By\_\_\_ Date